The New Urban Agenda, Global Institutions and Urban Policies in Africa

Prof Ivan Turok November 28th 2014





The conventional wisdom

"Few African countries have given attention to sustainable urban development ... urban development has not been given a high priority in national development plans and poverty reduction strategies" (African Development Bank, 2011).

"Few countries have developed strategies to cope with the challenges posed by rapid urbanisation ... most African decision makers have not yet grasped the importance of the proper functioning of cities for the proper functioning of national economies" (Cities Alliance, 2013).

Role of international agencies?

Threats and risks of neglect

- Urbanisation of poverty slum urbanism
- Congestion, overloaded infrastructure
- Health & environmental disasters Ebola!
- Social instability and disorder
- Global capital's urban fantasies
- Other enclaves gated compounds





Opportunities

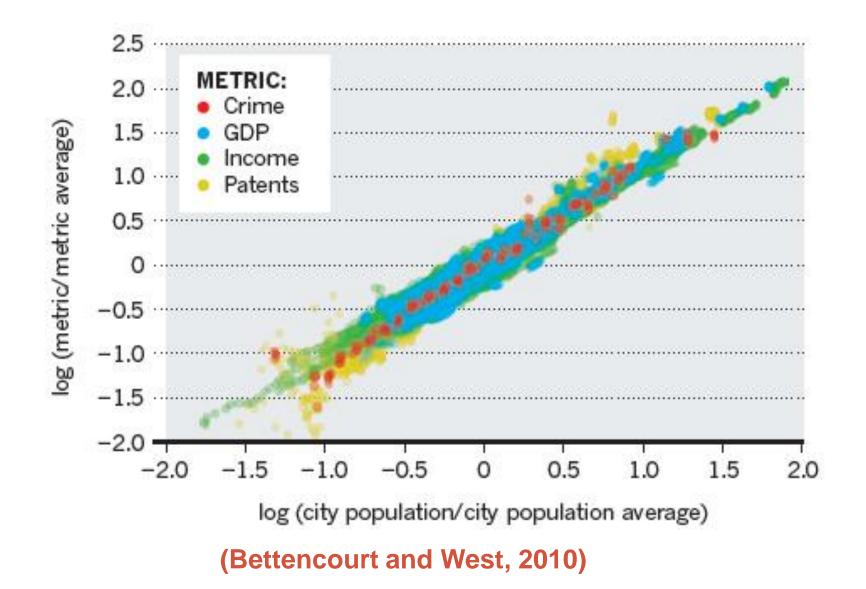


- Positive arguments for accelerating economic development
 - Concentration, proximity, density
 - Shaping fantasy cities by harnessing private capital
- More cost-efficient public services
- Pathways out of poverty
- Energy and resource efficiency & ecosystem protection
- Prevention & preparation better than retrofitting
- Functional urban form is the foundation

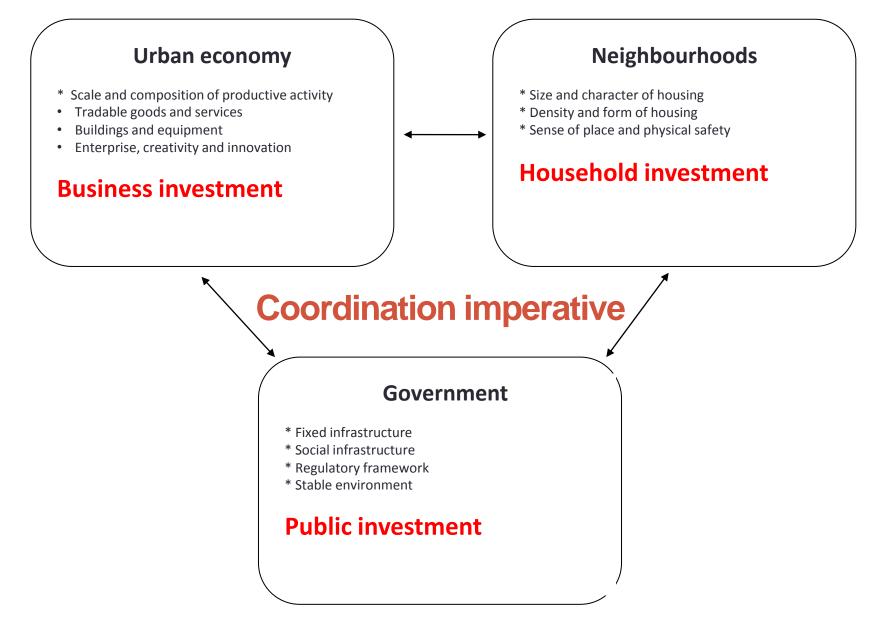
The relationship between urbanisation and development

- 1. Urbanisation as an **effect** of industrialisation
 - Population following jobs & incomes
- 2. Urbanisation **enables** development
 - Once-off efficiency gain from scale economies: labour pool, supply chains, public goods
- 3. Urbanisation **fuels** development
 - Cumulative, self-reinforcing, dynamic effects learning, innovation ('buzz')

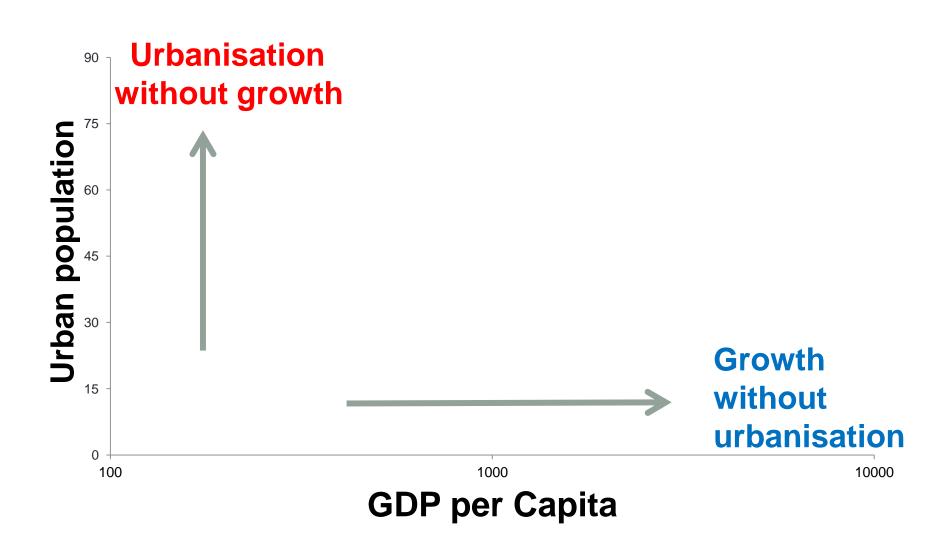
Its simple! 15% efficiency gain with 2x size (USA)



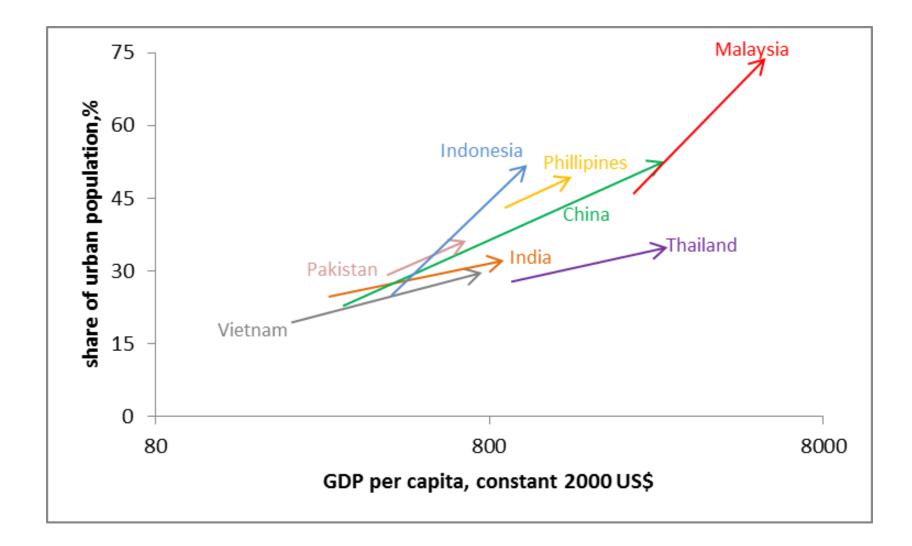
Development needs investment in a functional urban form



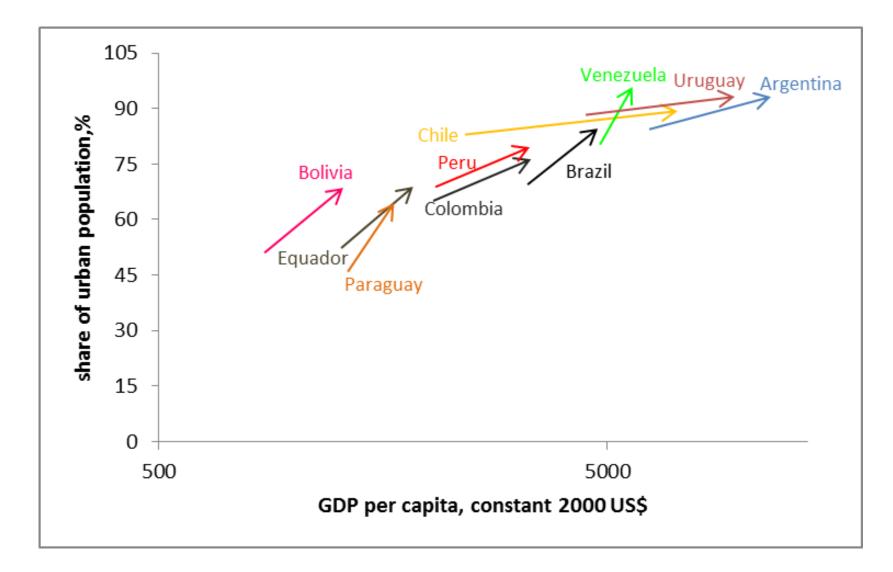
Analytical framework



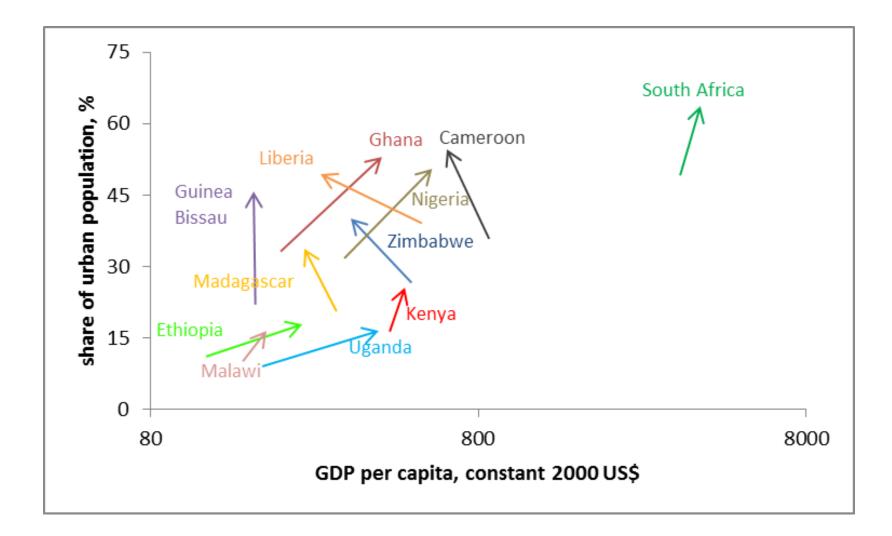
Asia 1985-2011



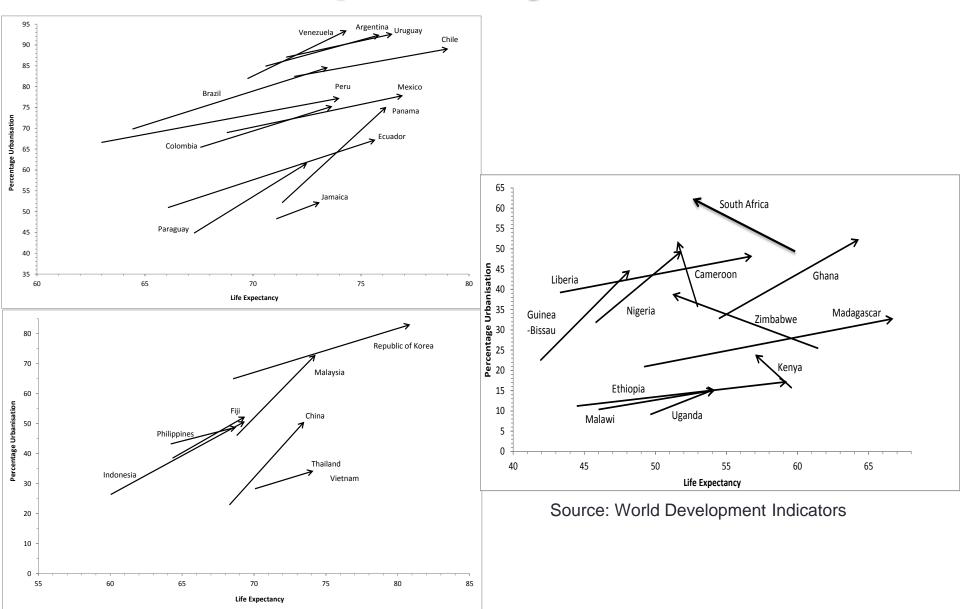
South America 1985-2011



Africa 1985-2011



Life expectancy, 1985-2011



In fact, lots of experience of urban initiatives in Africa

- > 50 years of projects
- > 20-30 years of **programmes** (sectoral)
- > 5-10 years of **policies** (cross-cutting)

Lack of cumulative learning, shared experience etc.

Integrated strategies could coordinate investment by government, businesses and households

A minimum agenda for a NUP?

- 1. Orchestration: coordination, mobilising wider support
- 2. Institutionalisation: legal procedures regarding land
- **3. Financing**: instruments for investment in housing and infrastructure; collecting taxes, capturing value
- 4. Capacity building: devolution to city institutions for planning & project development, inc. civil society & private
- 5. **Special measures**: catalytic projects, experimentation, demonstration schemes.

Process, not one-off. L-t perspective. Country-specific.

Dilemmas and choices

- 1. Political versus technical
- 2. Legal versus practical action
- **3.** Public versus private investment
- 4. National vs city institutions
- 5. Government vs partnership
- 6. Small projects vs large scale
- 7. Neighbourhood vs city-wide
- 8. Worst first vs demand driven
- 9. Biggest cities vs system of cities
- **10.** Physical (urban form) vs human capital
- **11. Prevention vs retrofitting/upgrading**

International organisations

	World Bank	OECD	Old UN-Habit	New UN-Habit
Political or technical	Technical	Technical	Technical	Technical
Legal/practical	Practical	Practical	Practical	Mixed
Public v private	Mixed	Mixed	Public	Mixed
National v city	National	?	National	?
Projects	Large	?	Small	?
Scale	City-wide	City-wide	Neighbourhood	?
Focus	Demand-driven	?	Worst-first	?
Focus	Biggest cities	?		System of cities
Scope	Physical form	?	Household services	Comprehensive
Focus			Retrofitting	Prevention

Nigeria



- Government recognises arguments for NUP
- Launched in 1992, revised in 2012
- Very ambitious & wide-ranging objectives
- Poor implementation
- Federal system complicates delivery
- Shortages of technical capacity
- Positive transport initiatives: low cost BRT, light rail
- Worrying urban fantasies Eko-Atlantic

Nigeria – 'paper policy'

"Successive governments in Nigeria have shown little concern for solving urban problems. Rather, they have directed more efforts towards promoting agriculture and rural development ... Despite the fact that Nigeria adopted a robust National Urban Development Policy and enacted a comprehensive Urban and Regional Planning Act, both in 1992, there has been generally little achievement to show in terms of their implementation. Today, the general apathy towards urban planning still persists in the country" (Federal Republic of Nigeria, 2012)

Kenya – tentative policy

- Traditional neglect of urban areas
- Weak local government
- Work on a NUDP began 2008, draft issued in 2013
- Very wide scope
- Coincidental restructuring of local government may play down the urban agenda

Uganda - embryonic

- Government recognises the case for a NUP
- The process started in 2010
- Draft policy discussed in stakeholder forums
- Aim to build institutional support and buy-in
- Complicated situation in Kampala

Malawi - embryonic

- Traditional neglect of urban areas
- Weak local planning and service delivery
- Work on a national Urban Policy Framework is underway
- Positive local initiatives on slum upgrading, e.g. in Blantyre

Rwanda - emerging

- Rapid urbanisation, positive government attitude
- Commitment to extend service delivery, support house-building and devolve responsibilities to local government
- Currently formulating a NUP
- Lack of technical and financial capacity

Ghana - provisional

- Government recognises positive arguments for NUP
- Launched in 2013 after 4 year preparation
- Very ambitious objectives, including informality
- Lack of an institution to implement it
- National and local forums to put urban issues on other agendas, including shack dwellers
- Major World Bank water and sanitation programme
- Complex land ownership/development rights issues

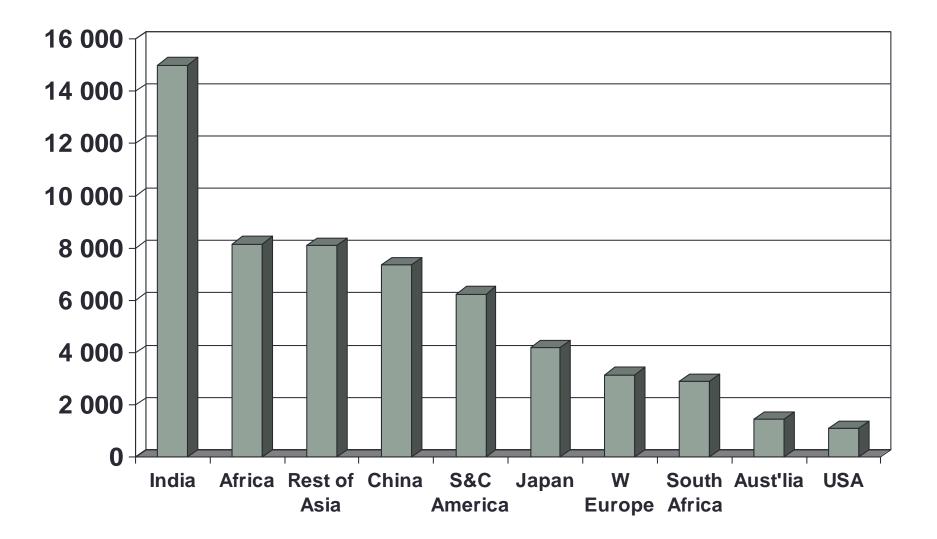
Morocco – progressive human settlements

- More sustained support for NUP (since 2001)
- More centralised government
- Major slum improvement programmes
- Affordable housing, serviced land, streamlined planning, contracts with city authorities
- Major impact on slum populations
- But insufficient in situ upgrading and development
- And neglect of economic dimensions

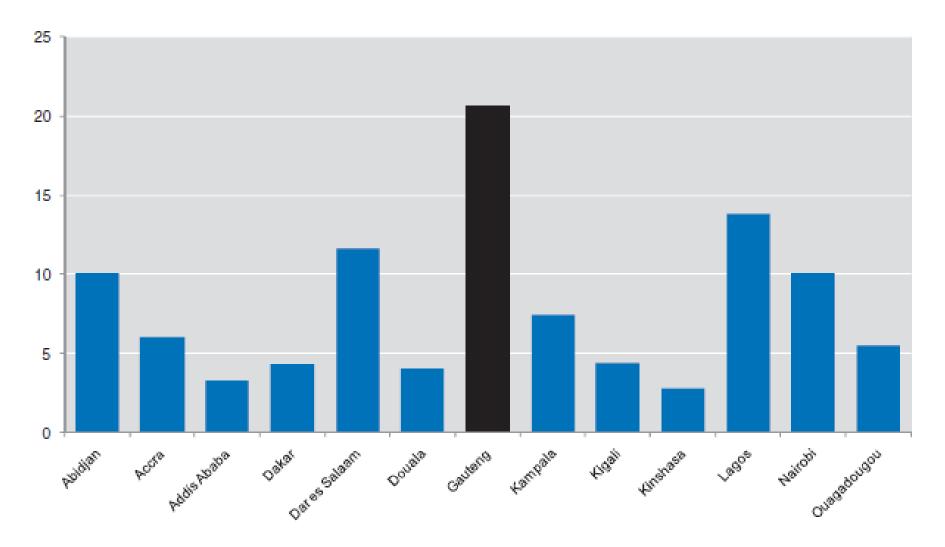
South Africa

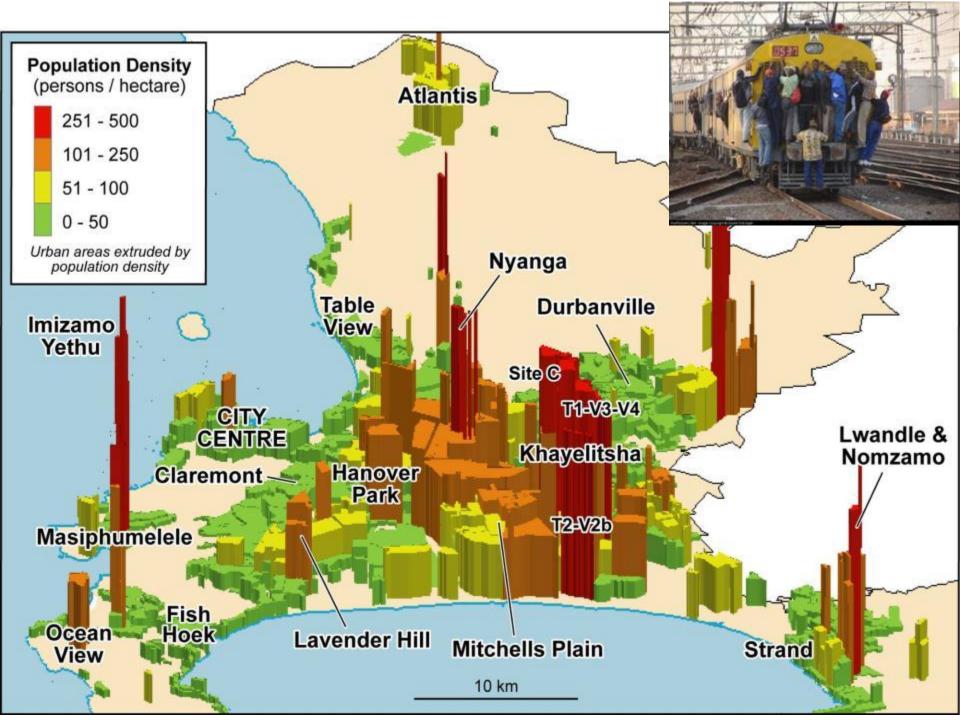
- Ambivalent attitude towards urbanisation since 1994
- Reflects damaging history of urban controls and rural destitution
- Result is divided and dysfunctional cities

Urban density (pop/sq km) {Demographia}

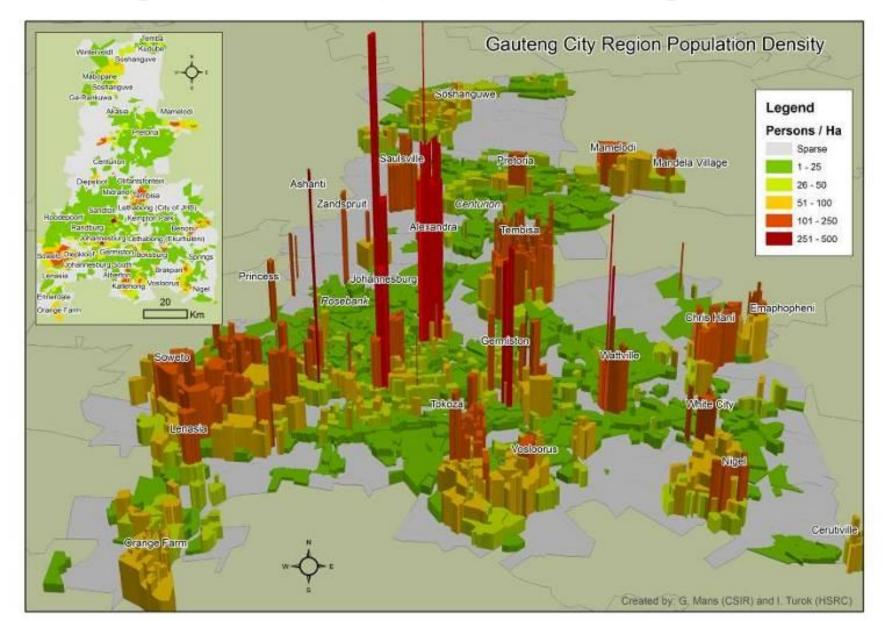


Proportion of household budget spent on transport (World Bank, 2007)

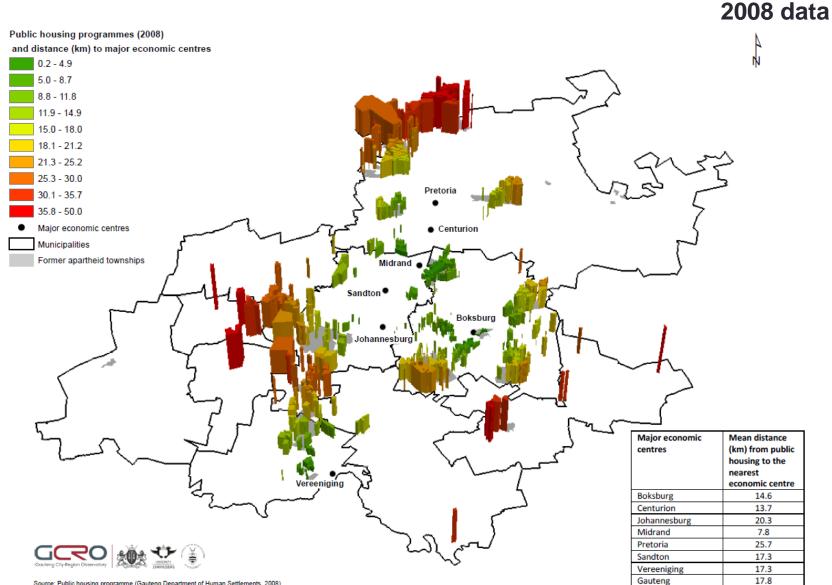




Fragmented, dispersed Gauteng



Distance of RDP housing from economic centres



Source: Public housing programme (Gauteng Department of Human Settlements, 2008)

RDP housing on the periphery: poverty traps?





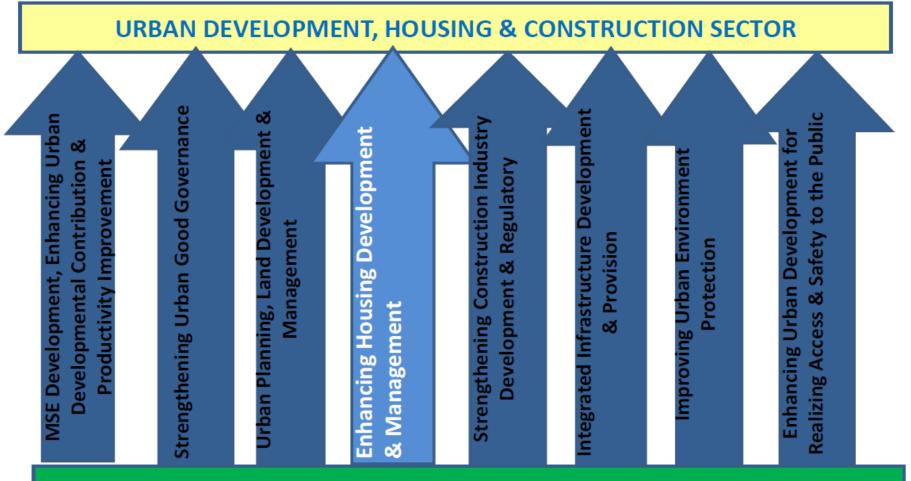
South Africa

- Post-1994
- Separate sectoral programmes in silos housing, transport, water, sanitation, electricity …
- Post 2009 decentralisation of built environment
- National Development Plan 2012
- Increasing talk about the importance of cities (MTBPS)
- 'Integrated urban development framework' approved by cabinet in 2014 – out for consultation
- Long-term growth/development strategy for every city

Ethiopia – concerted, far-sighted

- Sustained support for NUP (since around 2000)
- Federal Urban Development Policy (2005)
- Revised planning laws
- Strengthened technical capacity of govt officials
- Improved financial arrangements
- SME development, job creation, supply chains
- Federal system is a complication; weak munis
- State driven rather than collaborative

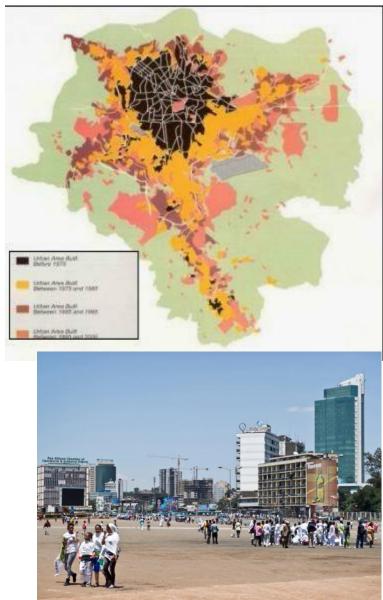
The 8 Pillars of The Federal Urban Development, Housing & Construction



Ethiopian Cities Prosperity Initiative

Ethiopia's planned urban extensions

- Very poor, 17% urbanised
- Preparing for 38m more urban
- Urban pop growth 3.6% p.a.
- Systematic approach
- Project population 25 years
- Map topography
- Exclude unsuitable areas
- Prioritise segments
- Grid plan; infrastructure plan
- Compensation; servicing; sale



Building for density in Ethiopia















Conclusions

- Very mixed, uneven picture. Positive trajectory
- At least 9 countries have elements of a NUP
- Ethiopia closest to an integrated NUP
- Also positive experiences in Morocco, South Africa, Ghana and Rwanda
- Increasing role of international agencies
- But different agendas and focus areas
- Priority is a functional urban form: economic density, connectivity, mixed land-uses